Docket: D2622

#4 2/1919 07-15-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Wayne D. Woodruff

Application No.: Date Filed:

09/941,033 08/28/2001

Title:

PERSONAL VIDEO RECORDER WITH SECURE RECORDING, STORAGE

AND PLAYBACK CONTROL

RECEIVED

## INFORMATION DISCLOSURE STATEMENT (IDS)

JUL 1 0 2003

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**Technology Center 2100** 

SIR:

In accordance with 37 C.F.R. §1.56 and in compliance with 37 C.F.R. §§1.97 and 1.98, the references listed on attached Form PTO/SB/08 and/or subsequently identified herein, are being submitted herewith for consideration by the United States Patent and Trademark Office.

I.	COPIES	3					
	a. 🔀		ach U.S. and foreign patents; (ii) each publication or that portion sted; and (iii) all other information or that portion which caused it herewith				
	b. Any patents, publications or other information which are listed on PTO/SB/08 v not enclosed herewith were previously cited by or submitted to the PTO in o following applications which has been relied upon for an earlier filing date U.S.C. §120:						
		U.S. Serial Number		U.S. Filing Date			
II.	CONCI	SE EXPLANATION OF T	HE RELEVANCE (check at least o	ne hox)			
	a. 🛛	Except as may be indicate	ed below in (b) of this section, all o	f the patents, publications or			
	b. A concise explanation of the relevance of all patents, publications or othe listed that is not in the English language is as follows:						
	c. 🗌		information is provided for the Exam	niner's consideration:			
III. 🗌	CROSS REFERENCE TO RELATED APPLICATION(S)  The Examiner is advised that the following co-pending application(s) contain(s) subject matte that may be related to the present application. By bringing this (these) applications to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C §122.						
	Serial N	<u>o.</u>	Filing Date	Art Unit			

## **FEES**

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. §1.97(b): (check one box)

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	a. 🗌	within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. §1.97(b)(1)). No fee or statement is required.						
	b.□ c. ⊠	within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. §1.97(b)(2)). No fee or statement is required. before the mailing date of a first Office Action on the merits (37 C.F.R. §1.97(b)(3)). No						
	d.□	fee or statement is required. before the mailing date of a first Office Action after the filing of a request for continued examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required.						
v. 🗀	before t	OS IS BEING FILED UNDER 37 C.F.R. §1.97(c): (check one box)  the mailing date of any of a Final Office Action under 37 C.F.R. §1.113, a Notice of the under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in the ion (See 37 C.F.R. §1.97(c)).  No statement; therefore, charge deposit account 50-1047 the fee set forth in 37 C.F.R. §1.17(p).  See the statement below. No fee is required.						
VI. 🔲		OS IS BEING FILED UNDER 37 C.F.R. §1.97(d): fore payment of the issue fee and is accompanied by the following: a statement under 37 C.F.R. §1.97(e) as provided below; and charge deposit account 50-1047 the petition fee set forth in §1.17(p).						
VII. 🔲	The undersigned hereby states that							
	a. □ b. □	Each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of IDS; or  No item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and to knowledge of the person						
	c.	signing the statement after making reasonable inquiry, no item of information contained in the IDS was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement, or Some of the items of information contained in the IDS were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the IDS was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.						
VIII.	PAYME	A check in the amount of is enclosed for the above-identified fee(s).  Please charge Deposit Account No. 50-1047 in the amount of \$180.00 for the above-indicated fee(s).  If Applicant has overlooked any additional fees, or if any overpayment has been made, the Commissioner is hereby authorized to credit or debit Deposit Account 50-1047.  Two Copies of this paper are attached for Deposit Account charges and debits.						

It is Applicant(s)' opinion that the claims presently on file patently distinguish the present invention from each of these references. The above references are being cited only in the interests of candor and without any admission that they constitute statutory prior art or contain matter which anticipates

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the invention or which would render the same obvious, either singly or in a combination, to a person of ordinary skill in the art.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 50-1047.

Respectfully submitted,

Karin L. Williams

Attorney for Applicant(s)

rin X Will Caus

Reg. No. 36,721 Tel. 908-518-7700

Fax: 908-518-7795

Enclosures:

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References

Foreign Search Report

Other:

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Substitute for	r form 1449A/PTC	)		Co	Complete if Known		
				Application Number	09/941,033		
INFORM	ATION DISC	LOSURE		Filing Date	08/28/2001		
STATEM	<b>ENT BY APP</b>	LICANT		First Named Inventor	Wayne D. Woodruff		
				Group Art Unit	2131		
(use as many	sheets as necessar	ツ)		Examiner Name	Unassigned		
Sheet	1	of	1	Attorney Docket Number	D2622		

Examiner	Cite No. <sup>1</sup>	U.S. Patent		Name of Patentee or Applicant	Date of Publication of Cited Document MM- DD-YYYY	
Initials*		Number Cla	ss/Subclass	of Cited Document		
	1.	6,256,393 B1	380/232	Safadi et al.	07/03/2001	
	2.	6,169,570 B1	348/13	Suzuki	01/02/2001	
	3.	5,901,339	455/2	Saito	05/04/1999	
	4.	5,497,420	380/20	Garneau et al.	03/05/1996	
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Initials*	No. 1	Office <sup>3</sup>	Number <sup>4</sup>	Class/Subclass	Applicant of Cited Document	MM-DD-YYYY	
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.